

J1143 U.S. PTO
10/12/01

10-15-01

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Practitioner's Docket No. 939_030

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on October 12, 2001 under "EXPRESS MAIL" mailing label number EV 002151290 US.

Gina M. Husak

Transmitted herewith for filing is the patent application of:

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JAPAN

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Title: FIBER ARRAY, METHOD FOR FABRICATING THE SAME AND
OPTICAL DEVICE USING THE FIBER ARRAY

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 11 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Formal Drawings (Figs. 1(a)-4(c))

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☒ A Preliminary Amendment

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and a certified copy of: Japanese Applications
2000-316970 filed October 17, 2000
and 2001-258465 filed August 28, 2001
- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
2-56, Suda-cho, Mizuho-ku
Nagoya, Aichi-Pref. 467-8530
JAPAN

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09/976462
10/12/01

09976462-101201

3. The correspondence address for this application is: Customer No.: 025191

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

A. Filing Fee
☒ Original Patent Application \$ 740.00
(37 C.F.R. 1.16(a) - \$740.00; Small Entity - \$370.00)

B. Fees for Claims (9 Claims; 1 Indep.)
☐ each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b) - \$34.00; small entity - \$42.00)

☐ each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c) - \$18.00; small entity - \$9.00)

☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d) - \$280.00; small entity - \$140.00)

C. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e) - \$130.00; small entity - \$65.00)

and/or

☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e) - \$130.00; small entity - \$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).

D. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$.00
(37 C.F.R. 1.17(b) and 1.47 - \$130.00)

E. ☐ Fee for processing an application filed with a specification in a non-English language \$.00
(37 C.F.R. 1.17(i) and 1.52(d) - \$130.00)

F. ☒ Assignment Fee \$ 40.00

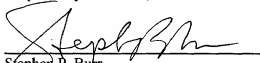
Total Fees Due \$ 910.00

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**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
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October 12, 2001

Date

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